

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

10007965

APPLICATION NO.

CONFIRMATION NO.

APPLICANT

Jun Li et al.

FILING DATE

GROUP

J1046 U.S. PRO  
09/955764

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
JDR	1A	5,506,955	04/09/1996	Chen et al.	395	183.02
JDR	1B	5,918,004	06/29/1999	Anderson et al.	395	183.14
JDR	1C	6,126,329	10/03/2000	Bennett et al.	395	704
JDR	1D	6,167,534	12/26/2000	Straathof et al.	714	38
	1E					
	1F					
	1G					
	1H					
	1I					
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## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
	1L							
	1M							
	1N							
	1O							
	1P							

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

JDR	1Q	CANTRILL, Bryan M., and Doepfner, Thomas W., Jr., 1997, "ThreadMon: A Tool for Monitoring Multithreaded Program Performance," Proceedings of the 30th Hawaii International Conference on System Sciences, pages 253-265.
JDR	1R	GRAHAM, Susan L., et al., 1982, "gprof: a Call Graph Execution Profiler," Proceedings of the SIGPLAN '82 Symposium on Compiler Construction, SIGPLAN Notices, Vol. 17, No. 6, pages 120-126.
JDR	1S	KAZI, I.H., et al., 2000, "JaViz: A client/server Java profiling tool," Java Performance, Vol. 39, No. 1 (18 pages).

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## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

JDR	2Q	MILLER, Barton P., et al., 1995, "The Paradyn Parallel Performance Measurement Tool, " IEEE Computer, Vol. 28, No. 11, pages 37-46.
JDR	2R	YAN, Jerry, et al., 1995, "Performance Measurement, Visualization and Modeling of Parallel and Distributed Programs using the AIMS Toolkit," Software-Practice and Experience, 25(4):429-461.
JDR	2S	YANG, Cui-Qing, et al., 1988, "Critical Path Analysis for the Execution of Parallel and Distributed Programs," Prof. Eighth International Conference on Distributed Computing Systems, San Jose, California, pages 366-373.

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JPR	3Q	ThreadMon: The Tool Itself. On website: <a href="http://www.cs.brown.edu/research/thmon/thmon3.html">www.cs.brown.edu/research/thmon/thmon3.html</a> (4 pages)
JDR	3R	Introduction. On website: <a href="http://www.cs.brown.edu/research/thmon/thmon1.html">www.cs.brown.edu/research/thmon/thmon1.html</a> (2 pages)
JDR	3S	Rational Purify for Windows. On website: <a href="http://www.rational.com/products/purify_nt/index/jsp">www.rational.com/products/purify_nt/index/jsp</a> (2 pages)

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	4P							

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JDR	4Q	Rational Purify for Windows: Production Information. On website: <a href="http://www.rational.com/products/purify_nt/prodinfo.jsp">www.rational.com/products/purify_nt/prodinfo.jsp</a> (3 pages)
JDR	4R	Rational Purify for Windows Whitepapers. On website: <a href="http://www.rational.com/products/purify_nt/whitepapers.jsp">www.rational.com/products/purify_nt/whitepapers.jsp</a> (1 page)
JDR	4S	Brochure on Rational Purify for Windows, available as a PDF download on website: <a href="http://www.rational.com/products/purify_nt/index.jsp">www.rational.com/products/purify_nt/index.jsp</a> (4 pages)

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## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

JOR	5Q	Rational Quantify for Windows. On website: <a href="http://www.rational.com/products/quantify_nt/index.jsp">www.rational.com/products/quantify_nt/index.jsp</a> (2 pages)
JOR	5R	Brochure on Rational Quantify for Windows, available as a PDF download on website: <a href="http://www.rational.com/products/quantify_nt/index.jsp">www.rational.com/products/quantify_nt/index.jsp</a> (4 pages)
JOR	5S	Rational Quantify for Windows NT Whitepapers. On website: <a href="http://www.rational.com/products/quantify_nt/whitepapers.jsp">www.rational.com/products/quantify_nt/whitepapers.jsp</a> (1 page)

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09/955,764

CONFIRMATION NO.

9833

APPLICANT

Jun Li et al.

FILING DATE

09-19-2001

GROUP

2184

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
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		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
JDR	1L	EPO924615	23.06.1999	Sun Microsystems		
	1M					
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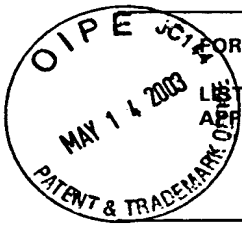
## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

JDR	1Q	McGregor et al. "Collecting Metrics for CORBA-based Distributed Systems" Software Metrics Symposium 1998 ILos Alamitos, Ca, USA, IEEE Comput. SOC, US 20 November 1998 pages 11-22
JDR	1R	Grahams S. L. et al: "An Execution Profiler for Modular Programs" XP-000783781 Software Practices and Experience vol. 13 no., 1983 pages 671-685 1993
JDR	1S	Sridharan B et al: "On Building Non-Intrusive performance instrumentation blocks for CORBA-based distributed systems" Computer Performance and Dependability Symposium, 2000 IPDS 2000 Proceedings. IEEE International Chicago, IL. USA Mar. 27, 2000 139-143

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## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

JDR	2Q	Katchabaw M J et al: "Making distributed applications manageable through instrumentation" Software Engineering for Parallel and Distributed Systems, 1997 Proceedings Second International Workshop on Boston, MA USA May 17-18 1997 pages 84-94
	2R	Michael Beck, Harold Bohme, Mirko Dziadaka, Ulrich Kunitz, Robert Magnus, Dirk Verworner: <del>"Linux-Kernel-Programmierung: Algorithmen und Strukturen der Version 1.0, pages 312-316"</del>
	2S	

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